

Proposal Preparation and Post-Award Expectations

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NHERI Lehigh EF
Operations Manager

Outline

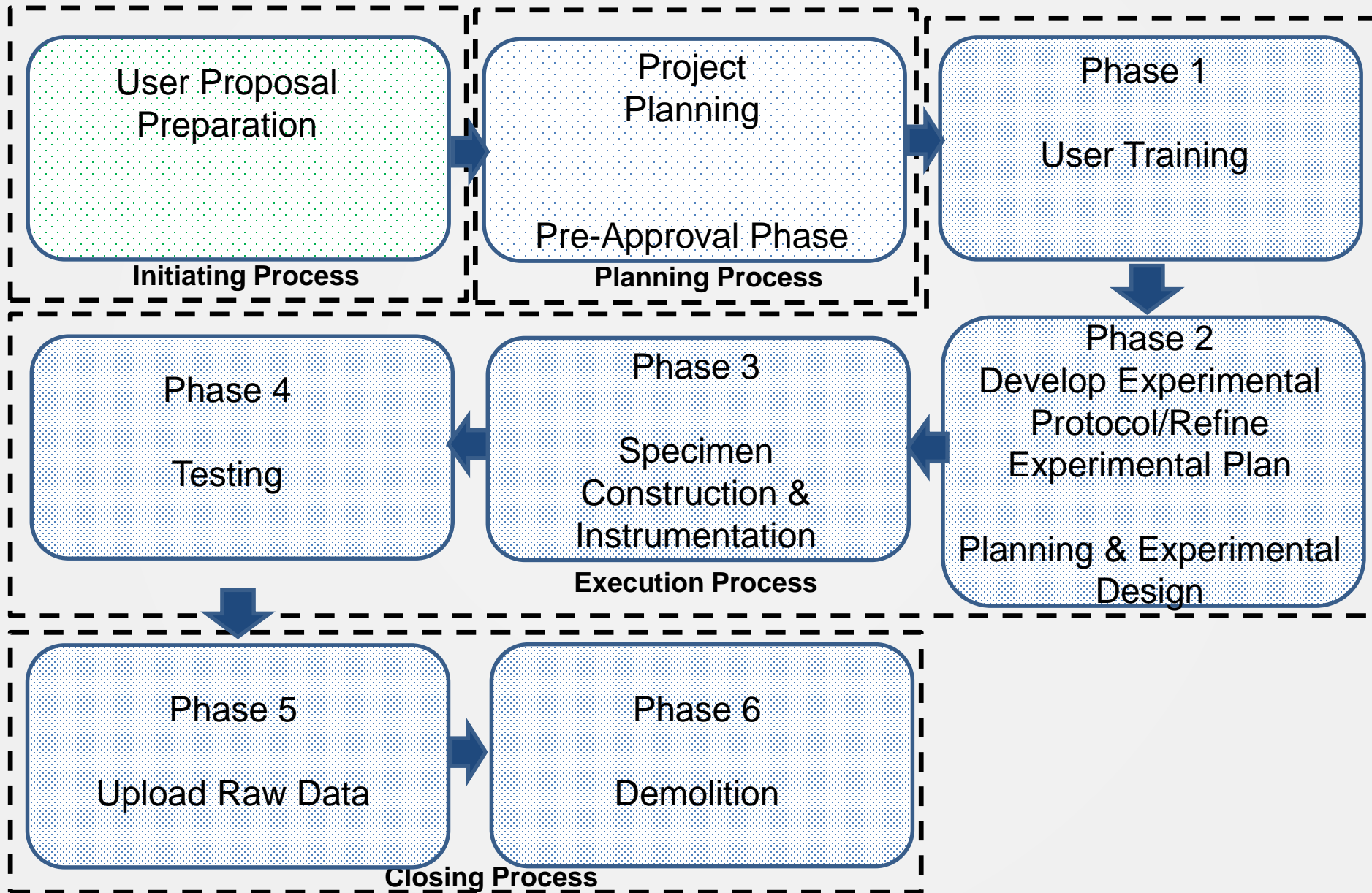
- Opportunities for Utilization of NHERI Lehigh
- Work Plan for NHERI Lehigh
 - Project Process
 - Pre-proposal Stage
 - Proposal Writing Stage
 - Post-Award Stage

Opportunities for Utilization of NHERI Lehigh

- NHERI Lehigh EF is available for utilization for projects funded through both public and private sources
 - NSF-funded projects that utilize NHERI Lehigh EF have specific costs that qualify for funding through one of the following sources:
 - NHERI Lehigh Operations and Maintenance budget
 - NSF-funded research project
 - Projects funded by all non-NSF sources are responsible for all costs associated with project budget
- Upcoming funding opportunities through NSF:
 - [Engineering for Civil Infrastructure \(ECI\)](#) – Solicitation NSF 17-073Y
 - Full Proposal Window: January 10, 2018 – January 24, 2018
 - [Leading Engineering for America's Prosperity, Health, and Infrastructure \(LEAP HI\)](#) – Solicitation 17-602
 - Letter of Intent – December 15, 2017
 - Full Proposal Window – February 5, 2018 – February 20, 2018

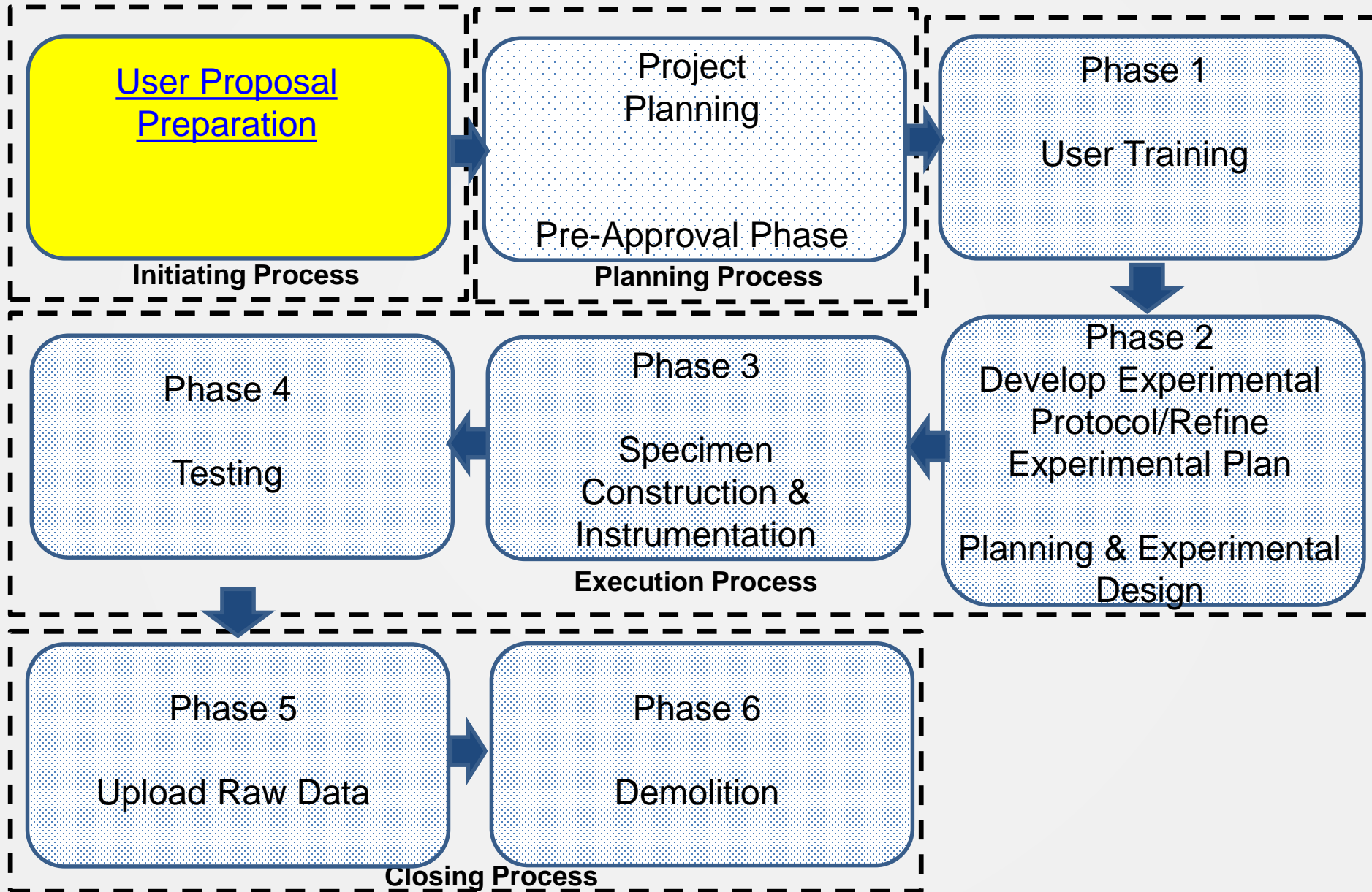
Work Plan for NHERI Lehigh

WBS Element 1.2 Researcher Support (aligns with NCO scheduling protocol)



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WBS Element 1.2 Researcher Support (aligns with NCO scheduling protocol)



User Proposal Preparation

Pre-Proposal Stage

(1.1) Interactions with NHERI Lehigh EF

Proposal Writing Stage

- (2.1) Research Plan
- (2.2) Facilities, Equipment, and Other Resources
- (2.3) Schedule
- (2.4) Budget
- (2.5) Data Management Plan

User Proposal Preparation

Pre-Proposal Stage

- (1.1) Interactions with NHERI Lehigh EF
- (1.2) Review NHERI Lehigh EF website

Proposal Writing Stage



- (2.1) Research Plan
- (2.2) Facilities, Equipment, and Other Resources
- (2.3) Schedule
- (2.4) Budget
- (2.5) Data Management Plan

Pre-proposal Stage

- (1.1) Interactions with NHERI Lehigh EF to establish how facility can accommodate researcher needs
 - Primary Contact:
 - Chad Kusko – Facility Operations Manager
 - Email: chk205@lehigh.edu
 - Important questions to consider at this stage:
 - *What is the proposed testing idea and does the proposed idea fit within the technical capabilities of the NHERI Lehigh EF?*
 - *Does NHERI Lehigh EF have the necessary equipment/instrumentation for the proposed idea?*
 - *To what solicitation (and for which deadline) will the proposal be developed?*
 - *What additional information is available for EF or is required from EF by researcher for proposal?*

Pre-proposal Stage

- (1.2) Review NHERI Lehigh EF website
 - [Questions for Pre-Proposal Stage](#)
 - [Checklist for Proposal Preparation](#)





The following serves as a guide for questions/topics to consider and address during the proposal stage for utilization of the NHERI Lehigh RTMD Experimental Facility:

General questions to address:

- What is the proposed testing idea and does the proposed idea fit within the technical capabilities of the NHERI Lehigh EF?
- Does NHERI Lehigh EF have the necessary equipment/ instrumentation for the proposed idea?
- To what solicitation (and for which deadline) will the proposal be developed?
- Can a schematic of the proposed test setup be provided to NHERI Lehigh EF for review and feedback?
- What additional information is available for EF or is required from EF by researcher for proposal?

Specific areas to address:

- Research Plan
 - Identify the items to be provided by researcher (with respect to utilization of facility)
 - What is the general plan of work envisioned, including broad design of activities to be undertaken at NHERI Lehigh EF?
 - Describe the experimental methods and procedures required by NHERI Lehigh EF
 - Is there a specific risk mitigation or safety strategy that should be considered?
 - Can a schematic of the proposed test setup be provided?
- Facilities, Equipment, and Other Resources
 - Items to be discussed with NHERI Lehigh EF (with respect to utilization of facility)
 - What resources are required of NHERI Lehigh EF?
 - Equipment?
 - Instrumentation?
 - Personnel?
 - Does NHERI Lehigh EF have these resources?
 - Are the capabilities required of the NHERI Lehigh EF established or do they need to be developed?
 - Are there additional ATLSS resources required for this proposal?
- Schedule
 - What is the proposed time-frame envision for utilization of NHERI Lehigh EF resources?
 - Equipment
 - Instrumentation
 - Physical space requirements (footprint in laboratory)
 - Technical staff
- Budget
 - What are the projected costs to:
 - Project Budget, including equipment use fees
 - NHERI O&M Budget
- Data Management Plan
 - What are the proposer's plans for data management and sharing of the research products?
 - Types of data, samples, software, etc. to be used at NHERI Lehigh EF
 - The standards used for data and metadata format and content
 - Plans for archiving data, samples, and other research products



The following serves as a checklist to follow during the proposal stage for utilization of the NHERI Lehigh RTMD Experimental Facility:

- Review the NSF Proposal and Award Policies and Procedures Guide
- Attend a researcher training workshop at NHERI Lehigh EF to become familiar with resources and capabilities of NHERI Lehigh EF
- Review NHERI Lehigh DesignSafe website (<https://lehigh.designsafe-ci.org/resources/>) to become familiar with resources, capabilities, policies, etc. associated with NHERI Lehigh EF, including review of the following files:
 - ATLSS Usage Rates for NSF NHERI Projects
 - ATLSS Usage Rates for non-NHERI Projects
 - Responsibility of Costs
 - Data Management Plan
 - ATLSS Laboratory Safety Plan
 - NHERI Lehigh RTMD EF User's Guide
- On the NHERI Lehigh DesignSafe website, review the file entitled "Questions to Address at Pre-Proposal Stage" and develop answers for the identified questions/topics
- Communicate with NHERI Lehigh EF operational personnel to assess if facility accommodates researcher needs
 - Primary Contact: Dr. Chad Kusko, NHERI Lehigh Operations Manager, chk205@lehigh.edu or 610-758-5299

User Proposal Preparation

Pre-Proposal Stage

- (1.1) Interactions with NHERI Lehigh EF
- (1.2) Review NHERI Lehigh EF website

Proposal Writing Stage

- (2.1) Research Plan
- (2.2) Facilities, Equipment, and Other Resources
- (2.3) Schedule
- (2.4) Budget
- (2.5) Data Management Plan

Proposal Writing Stage

- Recommended steps for the researcher developing proposal:
 - Review NSF Proposal and Award Policies and Procedures Guide ([NSF 17-1](#); effective January 2017) – Note for Proposals submitted or due, or awards made, on or after January 29, 2018, use [PAPPG 18-1](#)
 - Chapter II: Proposal Preparation Instructions
 - Review NHERI Lehigh EF Facility User's Guide
 - Communicate with NHERI Lehigh EF
 - Chad Kusko – Facility Operations Manager
 - Email: chk205@lehigh.edu
- Important Information to be discussed with NHERI Lehigh EF:
 - (2.1) Research Plan
 - (2.2) Facilities, Equipment, and Other Resources
 - (2.3) Schedule
 - (2.4) Budget
 - (2.5) Data Management Plan

Proposal Writing Stage

- (2.1) Research Plan
 - Items to be provided by researcher (with respect to utilization of facility)
 - General plan of work envisioned, including broad design of activities to be undertaken at NHERI Lehigh EF
 - Description of experimental methods and procedures required by NHERI Lehigh EF
- (2.2) Facilities, Equipment, and Other Resources
 - Items to be discussed with NHERI Lehigh EF (with respect to utilization of facility)
 - *What resources are required of NHERI Lehigh EF?*
 - Equipment?
 - Instrumentation?
 - Personnel?
 - *Does NHERI Lehigh EF have these resources?*
 - *Are the capabilities required of the NHERI Lehigh EF established or do they need to be developed?*
 - *Are there additional ATLSS resources required for this proposal?*
 - NHERI Lehigh EF can provide information on EF as requested

Proposal Writing Stage

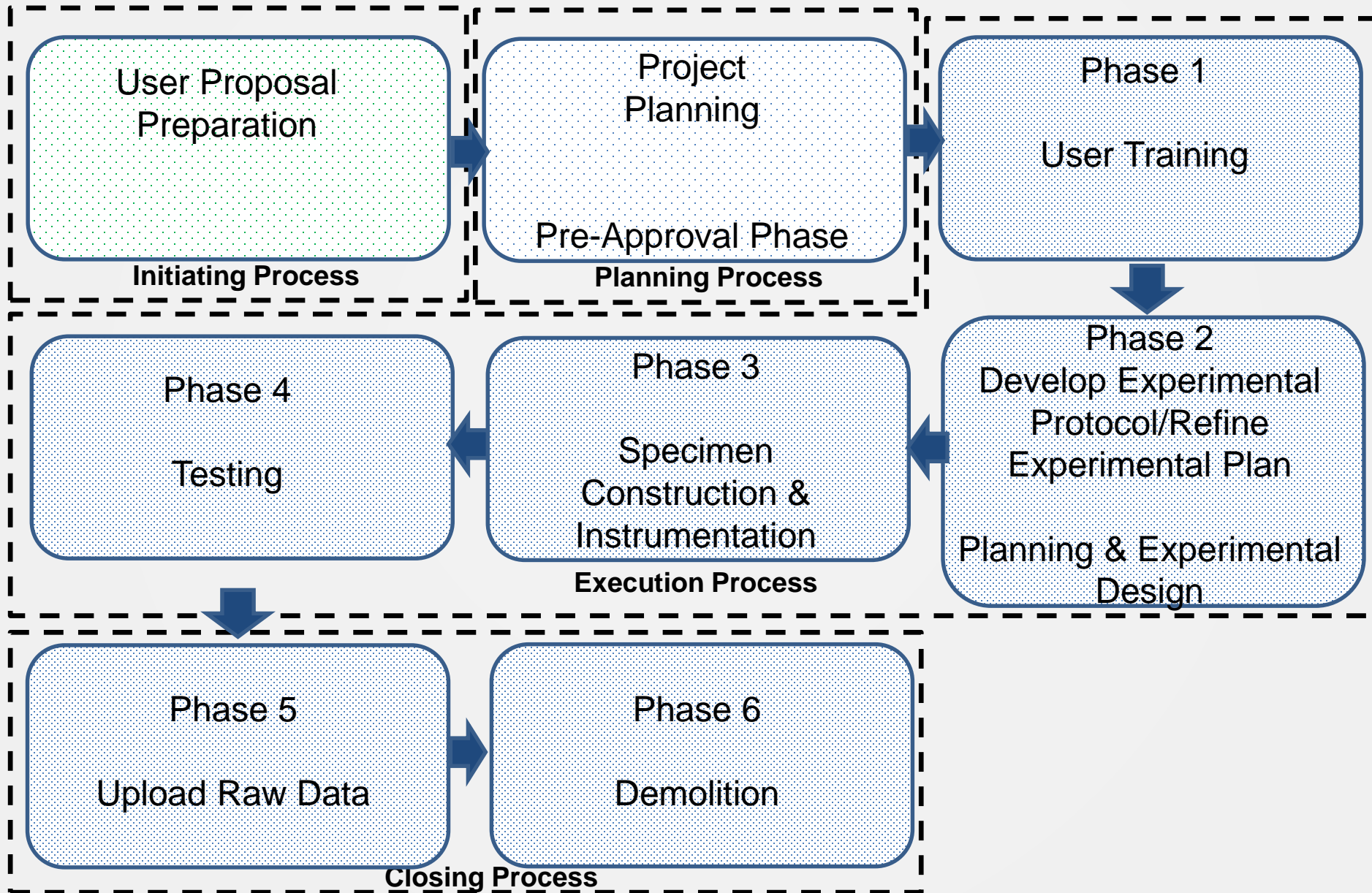
- (2.3) Schedule
 - *What is the proposed time-frame envision for utilization of NHERI Lehigh EF resources?*
 - Equipment
 - Instrumentation
 - Physical space requirements (footprint in laboratory)
 - Technical staff
- (2.4) Budget
 - NHERI Lehigh EF Operations and Maintenance budget could be used to support qualified costs
 - NSF-funded projects that utilize NHERI Lehigh EF have specific costs that qualify for funding through one of the following sources:
 - NHERI Lehigh Operations and Maintenance budget
 - NSF-funded research project
 - Projects funded by all non-NSF sources are responsible for all costs associated with project budget

Proposal Writing Stage

- (2.4) Budget
 - Where can you find information on budgeting at NHERI Lehigh EF?
 - NHERI Lehigh EF Rate Schedules (for [NSF-funded](#) and [non-NSF funded projects](#))
 - Provides use fee rates to utilize NHERI Lehigh EF equipment and instrumentation and other ATLSS (non-NHERI) equipment and instrumentation
 - Provides NHERI Lehigh EF personnel rates
 - NHERI Lehigh EF [Responsibility of Costs](#)
 - Assigns costs for NSF funded projects to either the NHERI Lehigh EF or the NSF-funded research project
 - Contact NHERI Lehigh Operations Manager with questions or for additional information
 - Chad Kusko, chk205@lehigh.edu
 - Lehigh University audited indirect cost rate
- (2.5) [Data Management Plan](#)
 - What are the proposer's plans for data management and sharing of the research products?
 - Types of data, samples, software, etc. to be used at NHERI Lehigh EF
 - The standards used for data and metadata format and content
 - Plans for archiving data, samples, and other research products

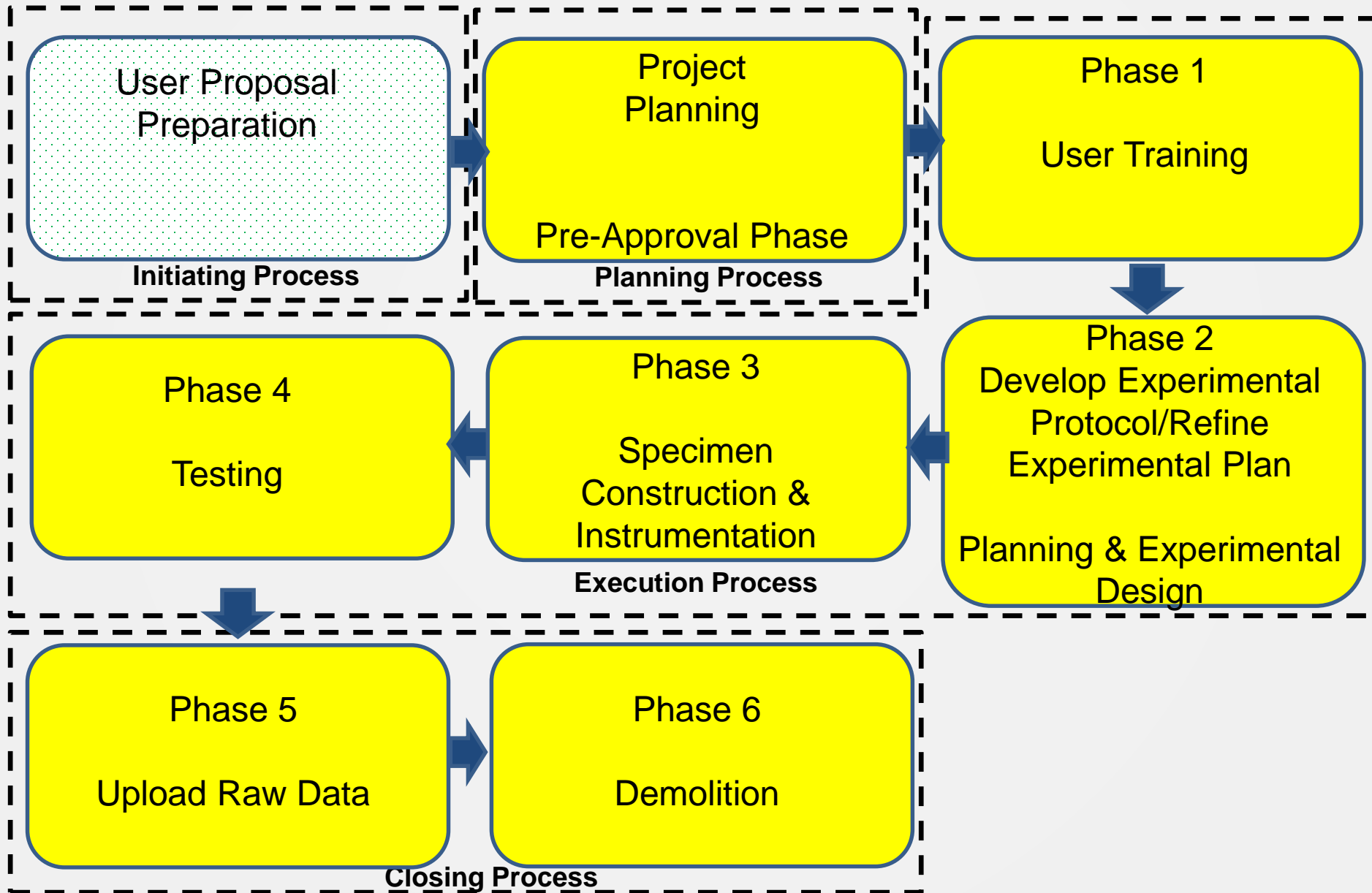
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Proposal Process

Pre-Proposal Stage

(1.1) Interactions with NHERI Lehigh EF

Proposal Writing Stage

(2.1) Research Plan
(2.2) Facilities, Equipment, and Other Resources
(2.3) Schedule
(2.4) Budget
(2.5) Data Management Plan

Post-Award Stage

(3.1) Researcher Agreement & Site Utilization Forms
(3.2) Researcher Training
(3.3) Scheduling of Testing
(3.4) Execution of Testing
(3.5) Post-Test Data Archiving

Post-Award Stage

- (3.1) Compliance Documentation and Site Utilization Forms
 - NHERI specific requirements being developed and finalized
 - For NHERI, these are expected to include:
 - [Equipment Facilities Policy Compliance Check](#) (ESPCC)
 - To be completed by an equipment facility representative, with supporting information provided by the researcher. The ESPCC assures policy compliance with respect to:
 - experimental feasibility,
 - safety,
 - budget,
 - schedule, and
 - data.
 - [Site Utilization Request Form](#) (SURF)
 - Outlines key terms associated with the project
 - This form would be an agreement between equipment site(s), researcher, and NHERI

Post-Award Stage

- (3.1) Experimental Site Utilization Form:
 - Project information/Summary
 - Summary of Facility Requirements
 - Description of planned experiments
 - Description of experimental site resources needed
 - Scheduling for experimental site usage
 - Description and scope of special experimental site services needed
 - Project-specific risks and safety issues and associated mitigation plans
 - Roles and responsibilities for facility and researcher
 - Data Sharing and Archiving plan

Post-Award Stage

- (3.2) [Researcher Training](#)
- (3.3) Experimental Test Plan/Scheduling of Testing
 - Experimental Test Plan (ETP)
 - Detailed document that outlines specific testing to be done at EF
 - Project scope (details of specimens, fabrication location, erection, instrumentation, data acquisition, testing plans, and demolition)
 - Detailed list of equipment, # of days of equipment site use, for entire duration of experimental activities
 - Project-specific safety requirements
 - Anticipated project schedule (for specimen construction, installation, testing, raw data upload, and demolition)
 - NHERI Lehigh EF will work with ATLSS Center staff for coordinating the schedule of research project
 - Resources assigned based on critical milestones of respective projects in order to maximize efficiency of laboratory operations
 - NHERI Lehigh Operations Manager will report schedule to the NCO Facility Scheduling Group for integration into overall NHERI facilities schedule
- (3.4) Execution of Testing
 - NHERI Lehigh EF will provide support for experimental preparation within the laboratory and operate equipment in order to complete testing in collaboration with research team
- (3.5) Post-Test Data Archiving
 - NHERI Lehigh IT Manager will provide assistance to researchers with data management, including data backup on local repository and data upload to NHERI repository

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